

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michio KADOTA et al.	International Application No.: PCT/JP2004/011364
Serial No.: Unknown	International Filing Date: August 6, 2004
Filing or 371(c) Date: Concurrently herewith	
Title: SURFACE ACOUSTIC WAVE DEVICE	

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit the attached Form PTO-1449. Reference Nos. 1, 2 and 9 were cited in the attached International Search Report for PCT Application No. PCT/JP2004/011364.

The relevance of Reference No. 3 is discussed on pages 2-4 of the substitute specification filed concurrently herewith.

The relevance of Reference No. 4 is discussed on page 3 of the substitute specification filed concurrently herewith.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the cited references be made of record in the subject application.

Respectfully submitted,

Dated: March 29, 2006

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number		
Filing Date		
First Named Inventor	Michio KADOTA	
Art Unit		
Examiner Name		
Attorney Docket Number		36856.1436

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM - YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM - YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number-Kind Code ⁴ (if known)				
1	JP 2002-084156	(with English Abstract & machine translation)	03-2002	JAPAN		X
2	JP 06-164293	(with English Abstract & machine translation)	06-1994	JAPAN		X
3	JP 11-186866	(with English Abstract & machine translation)	07-1999	JAPAN		X
4	JP 61-136312	(with English Abstract)	06-1986	JAPAN		X
5	JP 08-125485	(with English Abstract & machine translation)	05-1996	JAPAN		X
6	JP 63-260213	(with English Abstract)	10-1988	JAPAN		X
7	JP 2004-080150	(with English Abstract & machine translation)	03-2004	JAPAN		X
8	JP 2004-112748	(with English Abstract & machine translation)	04-2004	JAPAN		X

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached.

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Substitute for form 1449/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	
		Filing Date	
		First Named Inventor	Michio KADOTA
		Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	36856.1436

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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¹Applicant unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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